



# The Askaryan Radio Array: A Radio-Based Ultrahigh Energy Neutrino Detector at the South Pole

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1. Introduction
2. Detector
3. Data Analysis
  1. Testbed Analysis Techniques and Results
  2. Deep Station Analysis and Results
4. Conclusions



# INTRODUCTION



# Cosmic Messengers

## Cosmic rays

Charged - subject to magnetic deflection

Lose energy to GZK

## Gamma rays and other photons

Attenuation

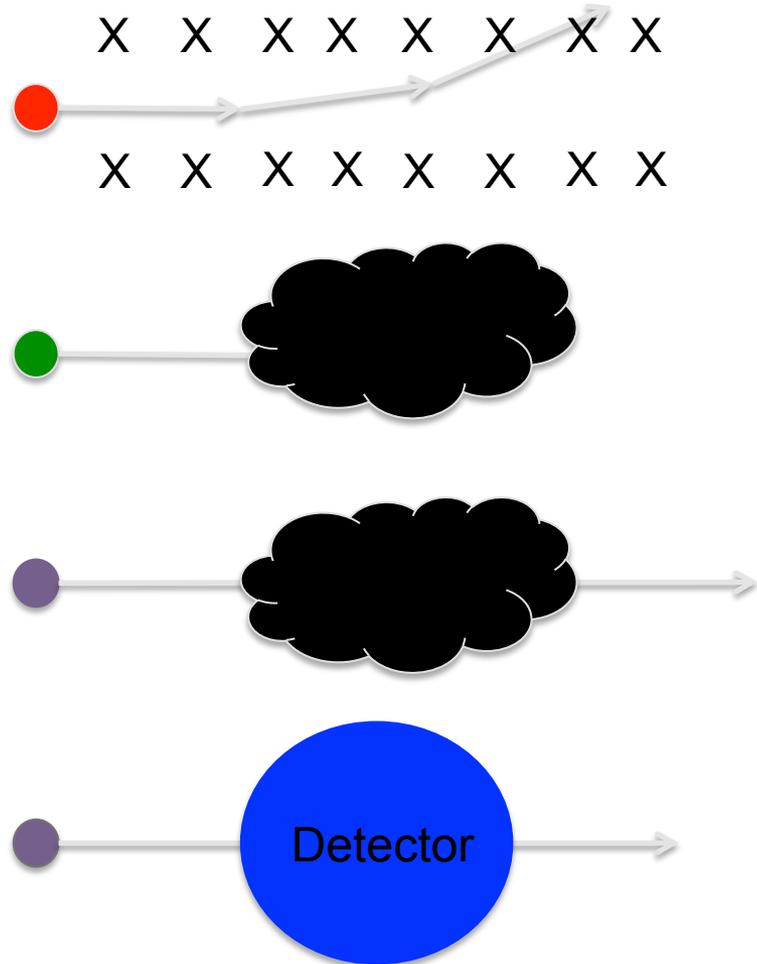
## Neutrinos

No attenuation or deflection

Weakly interacting - difficult to observe

Only extraterrestrial sources

- Sun, Supernova 1987A
- new IceCube events





Greisen-Zatsepin-Kuzmin (GZK):  
 Cosmic rays with  $E > 10^{19.5}$  eV interact  
 with cosmic microwave background  
 (CMB) photons

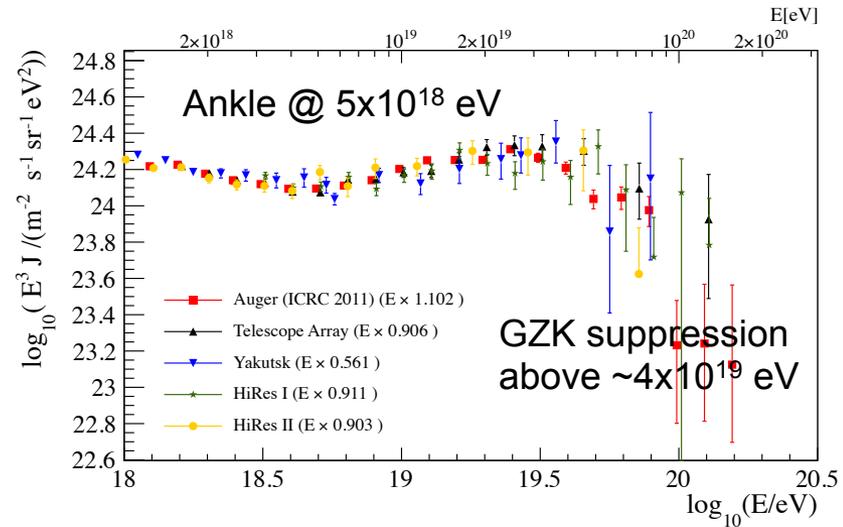
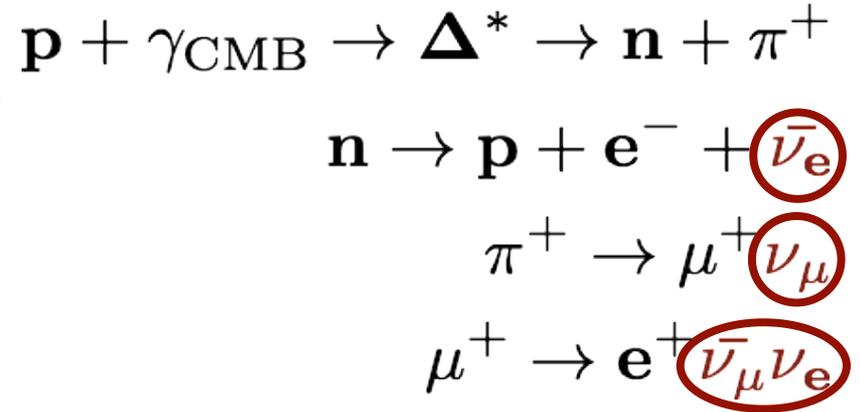
Process produces BZ neutrinos, some  
 at ultrahigh energies (UHE)

Neutrinos happily continue on

UHE neutrinos could also be produced  
 at a source location

If observed, will trace back to source

- Low flux at Earth
  - Less than  $1/\text{km}^3/\text{year}/\text{energy decade}$
  - Need large volume detectors





How to get large-scale detection -

Brute force: make 100X IceCube

Use a different approach – radio Cherenkov technique

Coherent Cherenkov signal from net “current,” instead of from individual tracks

In dense medium, a ~20% charge asymmetry develops in the shower (positrons annihilated, electrons not)

If  $\lambda \gg R_{\text{Moliere}}$  (radial size scale)  $\rightarrow$

Coherent Emission

Hypothesized by Gurgen Askaryan, 1962

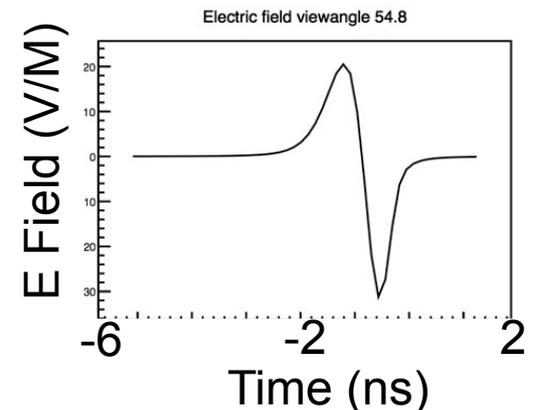
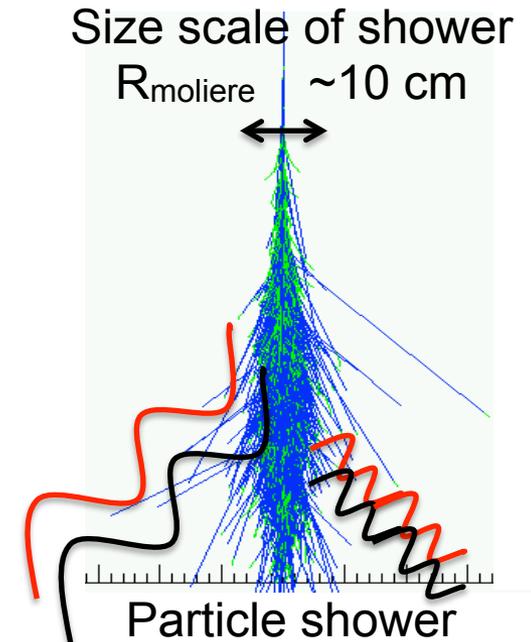
Effect observed in ice, water, salt

Impulsive bipolar signal

Ice: Long (~1 km) attenuation lengths in 0.1-1

GHz  $\rightarrow$  large observable volume

Where is there a lot of ice? Antarctica!





Synoptic – balloons, satellites –  
ANITA, EVA, PRIDE

Large target volume -  $O(10^6 \text{ km}^3)$ ;  
short flight time 30-40 days

More limited viewing angles  $\rightarrow$   
less solid angle

Must be reconstructed after flight  
and “landing”

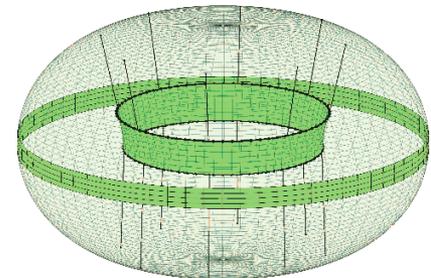
Good as a “discovery” instrument  
for highest energies ( $>10^{20} \text{ eV}$ )

$$F \propto \frac{1}{At\Omega}$$

ANITA



EVA



*In situ* arrays – IceCube, HEX/NGI, RICE, **ARA**, ARIANNA

Long operation time (years); smaller observable volume -  $O(100 \text{ km}^3)$

Larger solid angle for observable signals

Environmental problems *in situ* – measure and model environment, ice

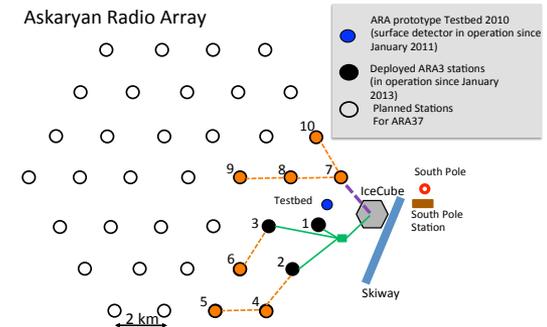
But better able to obtain more information about event - direction, pol., etc.

Good as an observatory – long term stability, reaches lower energy ( $10^{17}$  eV)

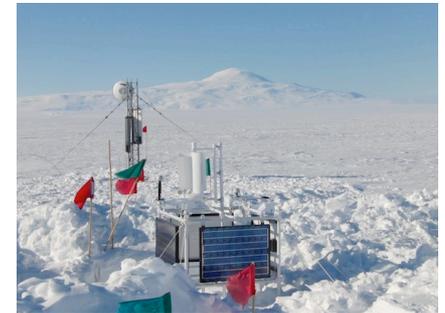
Better able to see unexpected events?

$$F \propto \frac{1}{At\Omega}$$

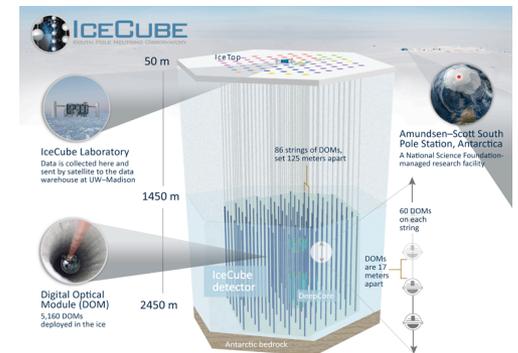
ARA



ARIANNA



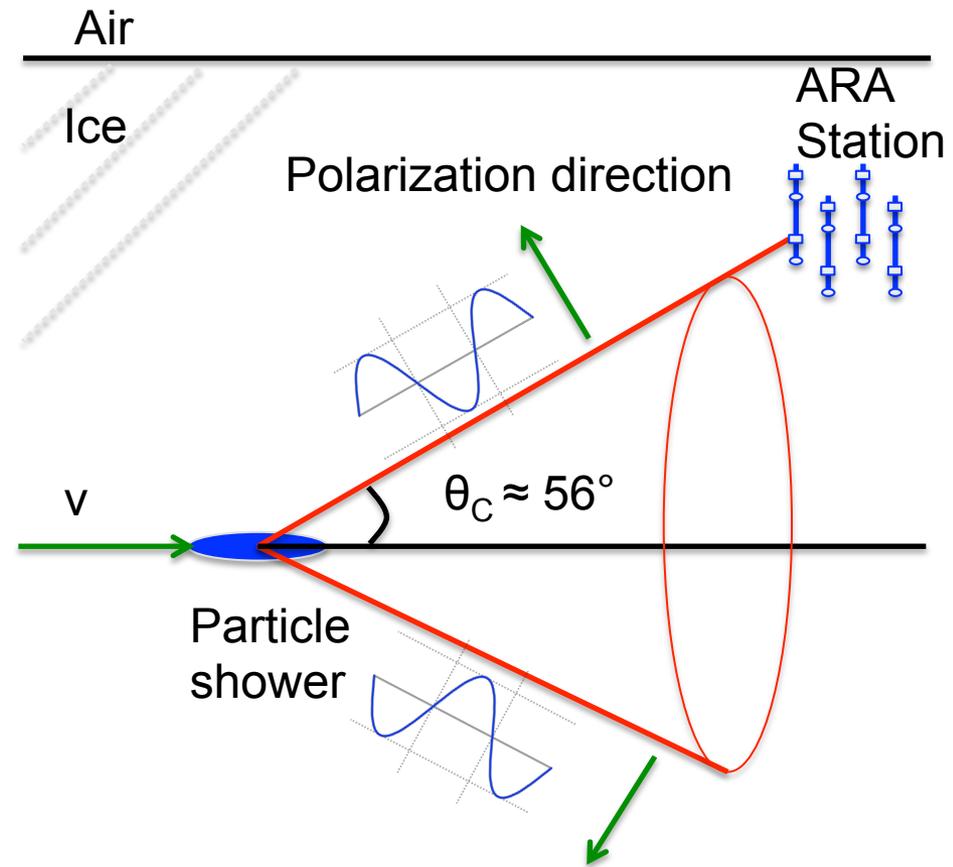
IceCube





# Detector Concept

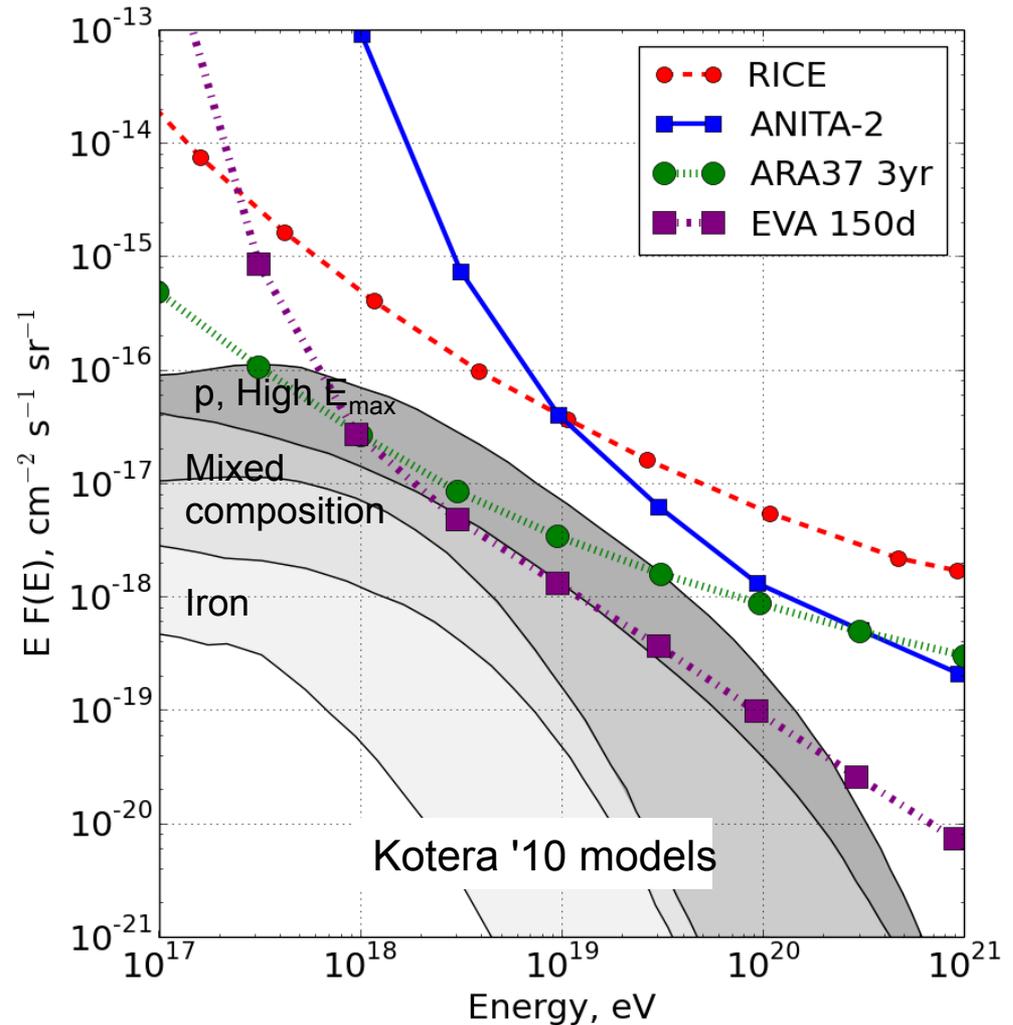
- Place antennas in ice to observe the radio signals
- Delays in arrival times used for reconstruction
- 3-D array design for each station
  - Varying baseline directions – not localized to 1 plane
  - Good reconstruction in arrival direction from surrounding ice volume
- Observation angle determines the coherence of the signal and thus frequency content





# In-ice vs. Balloons

- In-ice antennas:
  - lower energy threshold.
  - Reduced visible volume.
- Balloon-borne antennas:
  - Higher energy threshold.
  - Increased visible volume.





## USA:

- Ohio State University
- University of Chicago
- University of Delaware
- University of Kansas
- University of Maryland
- University of Nebraska
- University of Wisconsin – Madison
- California Polytechnic State

University, San Luis Obispo

## UK:

University College London

Belgium: Université Libre de Bruxelles

Japan: Chiba University

Taiwan: National Taiwan University

Israel: Weizmann Institute of Science

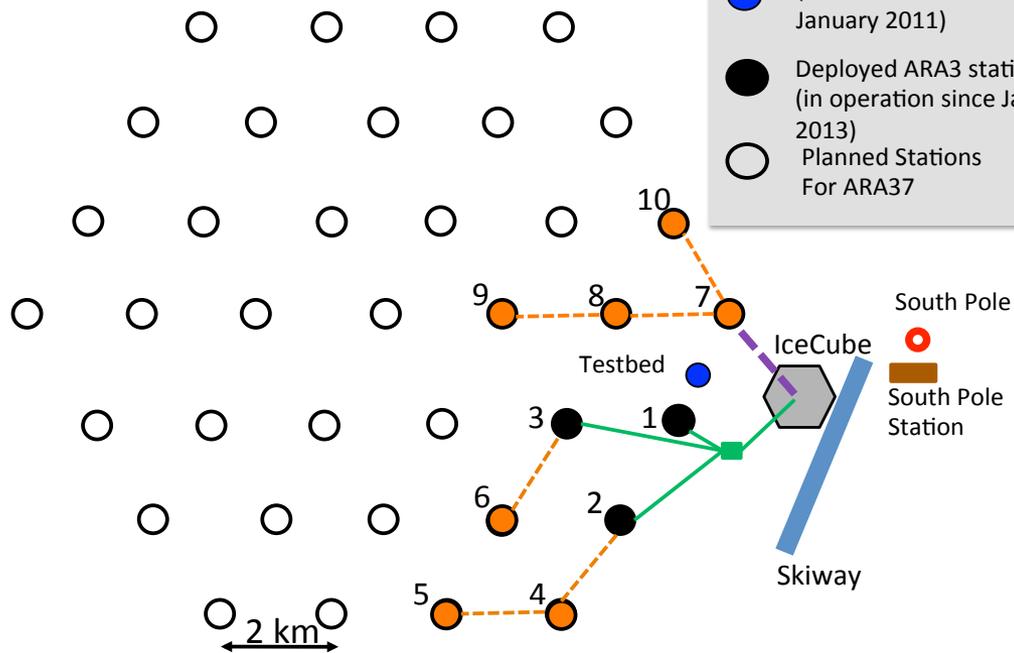


# International collaboration with 13 institutions

## ~50 authors



## Askaryan Radio Array



- ARA prototype Testbed 2010 (surface detector in operation since January 2011)
- Deployed ARA3 stations (in operation since January 2013)
- Planned Stations For ARA37

- South Pole
- South Pole Station

Currently installed: 3 design stations + 1 shallow prototype Testbed

Installation dates: Testbed 2010-2011 @ 30 m depth;

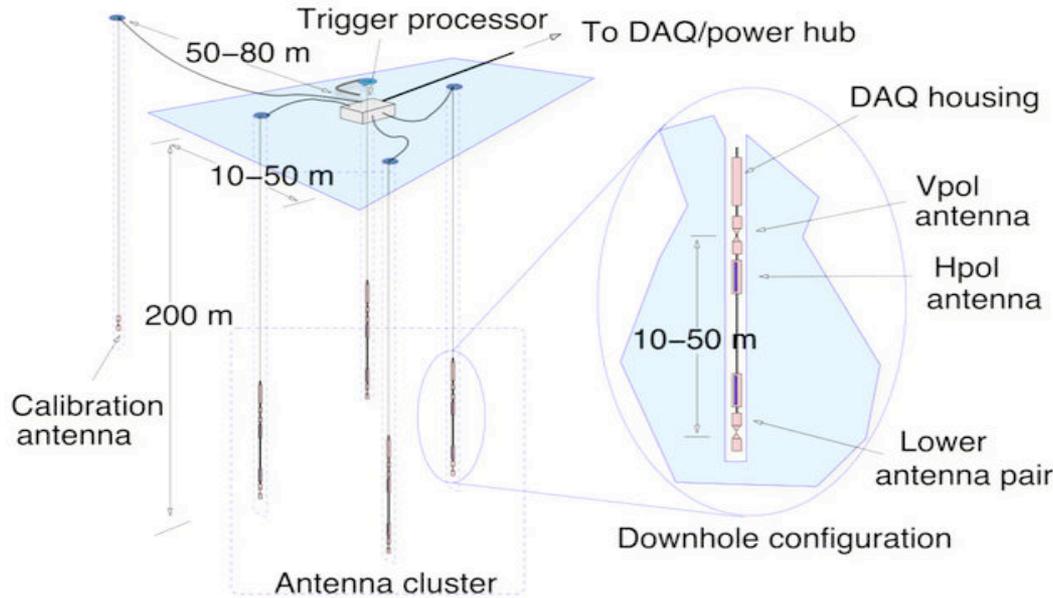
A1 2011-2012 @ 100m depth; A2 and A3 2012-2013 @ 200 m depth

Next installation phase: 7 more stations for ARA10

Total planned – 37 stations viewing ~ 100 km<sup>2</sup> of surface area



# Station Design



Hpol quad-slotted cylinder antenna



Vpol bicone antenna

4 strings with 4 antennas each

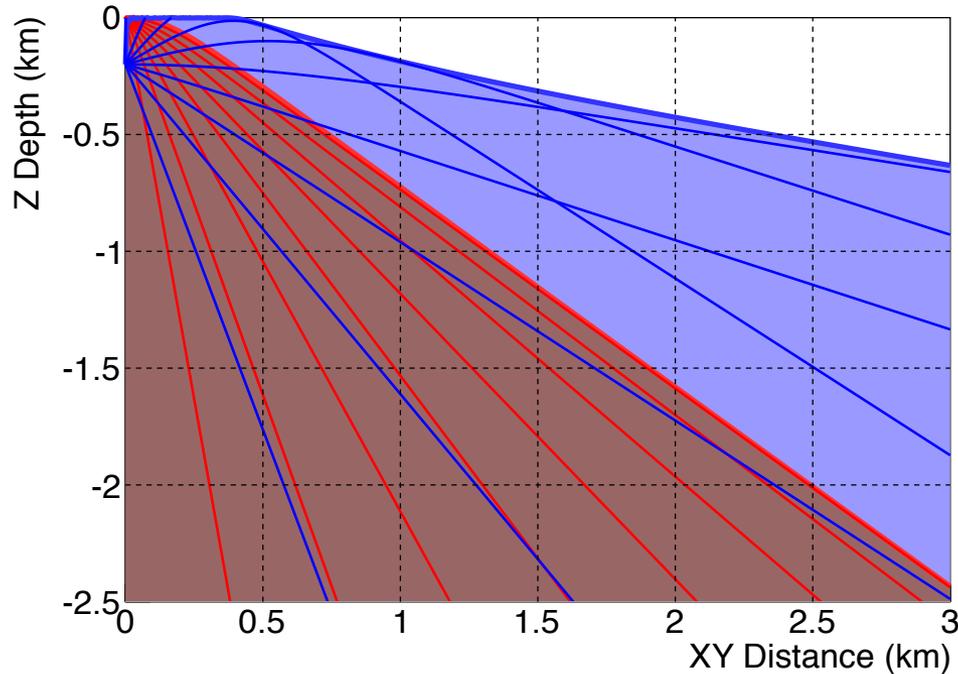
2 pairs (upper and lower) of 1 Vpol and 1Hpol antenna

2 Calibration pulser antennas @ receiver antenna depth

4 fat dipole antennas at surface for cosmic ray identification

Deployed 200m deep in ice – minimize effect of firn layer

- Bandwidth: 150-850 MHz
- Azimuthal symmetry, dipole at low frequencies



## Firn – layer of compacted snow

Quickly changing index of refraction ( $\sim 1.35 \rightarrow \sim 1.78$  within top  $\sim 150$  m of ice)

Causes curvature in paths of rays in ice

Limits viewable volume and observable neutrino incident angles

30 m  $\rightarrow$  200 m depth: increases effective volume by factor of  $\sim 3.2$



# ANALYSIS: TESTBED

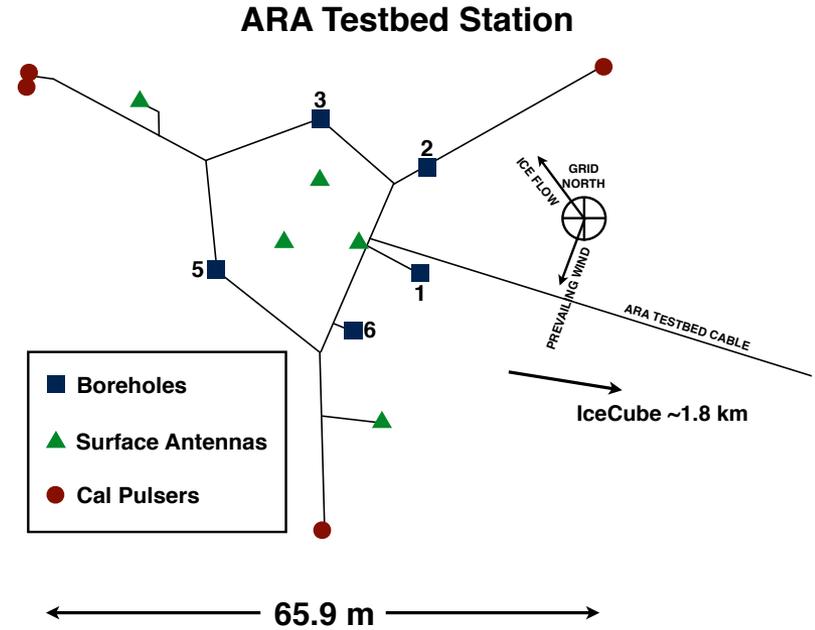


- 2 basic types of noise
  - CW
  - **Thermal**
    - **Characterized by (semi-)random fluctuations from surrounding environment**
- ARA trigger – based on tunnel diode output
  - Acts as a few-ns power integrator
  - Trigger rides a threshold determined by the thermal noise level
  - 100's of millions of events – almost all thermal noise
- How to reject these signals efficiently?
  - For analysis cuts
  - For filtering before transmission to the North

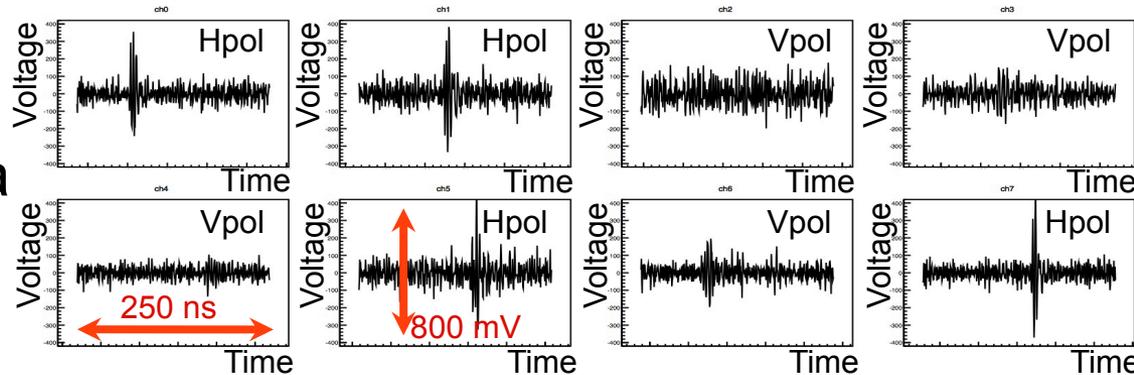


# Testbed Station

- Total 16 antennas, 8 borehole antennas at 150 MHz to 850 MHz
- Maximum depth of antennas ~ 30 m
- 3 sets (Vpol + Hpol) of calibration pulsers
- Deployed 2010-2011
- Ran for 2 years (2011 – 2012)
  - Not intended for long-term operation
- First ARA neutrino searches carried out with Testbed station data
  - Diffuse: [arxiv:1404.5285](https://arxiv.org/abs/1404.5285)
  - GRB: [arxiv:1507.00100](https://arxiv.org/abs/1507.00100)



Calibration pulser event waveform from 8 deep antennas in Testbed

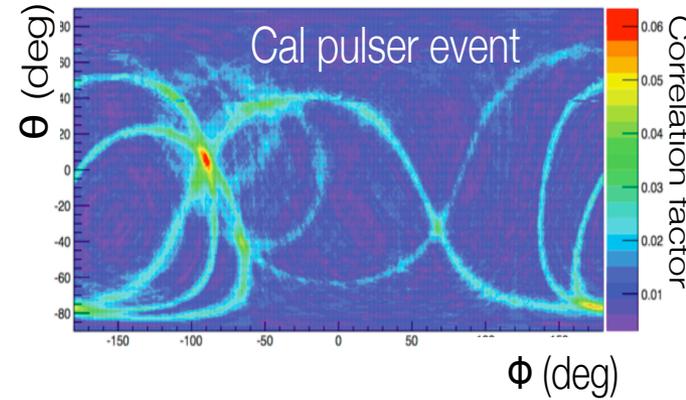
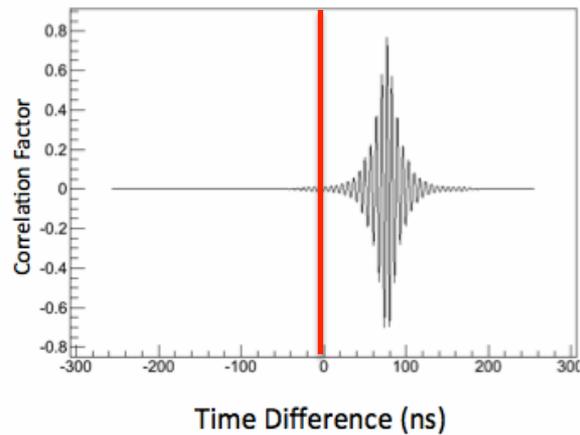
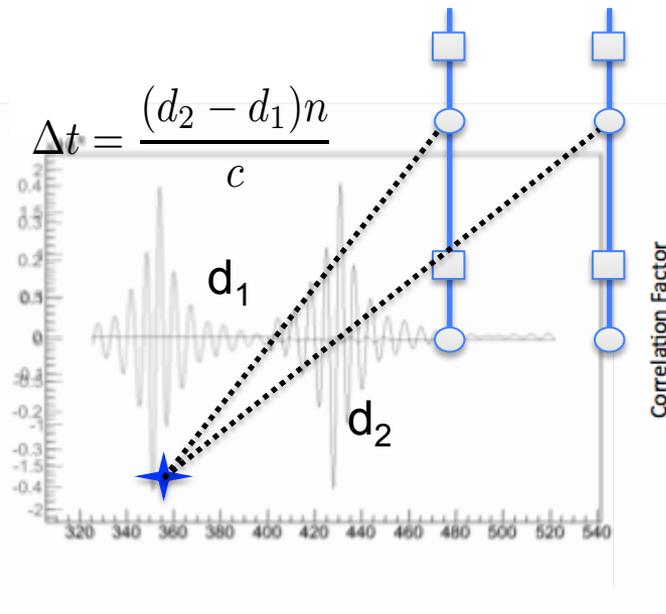


2016-08-24



## Adapted interferometric technique from diffuse search for GRB search

1. Impulsive waveform – ~1-10 ns time scale
2. Correlation factor - Convolution of the two waveforms including a timing offset
3. Calculate timing delays for all angles of approach
4. Sample correlation plot at these delays
5. Create a map for all pairs of antennas and the correlation

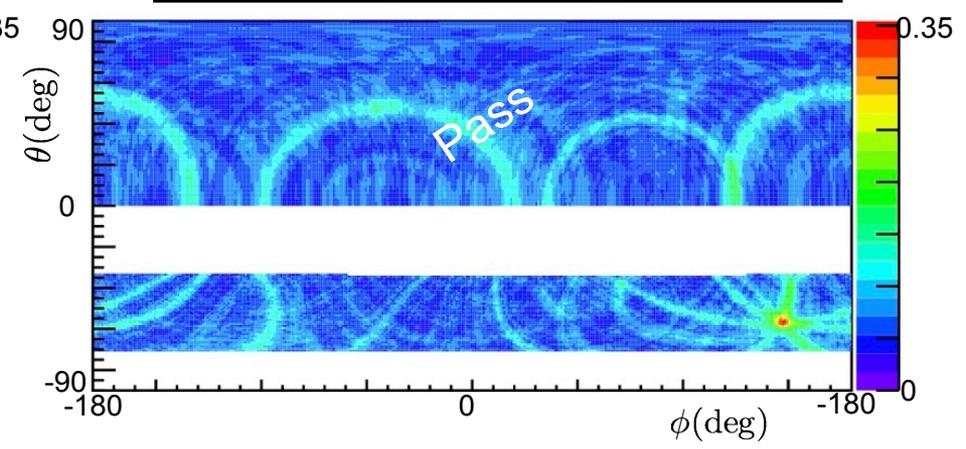
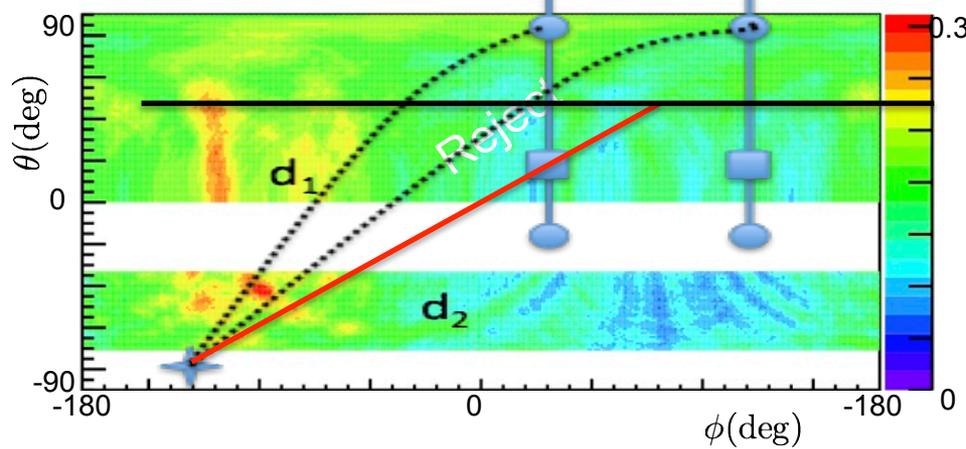




# Reconstruction Quality Cut

Known background event reconstruction map example

Simulated  $\nu$  event reconstruction map example



Rejected thermal noise by requiring strong reconstruction map peak that is unique

Reconstruction based on timing from ray-tracing

Use 30 m and 3 km maps in Hpol and Vpol

Requires at least one reconstruction map to be of good quality

$1 \text{ deg}^2 < \text{Area of 85\% contour surrounding the peak} < 70 \text{ deg}^2$

Total 85% contour peak area  $< 16.2 \times \text{Area of 85\% contour surrounding the peak}$

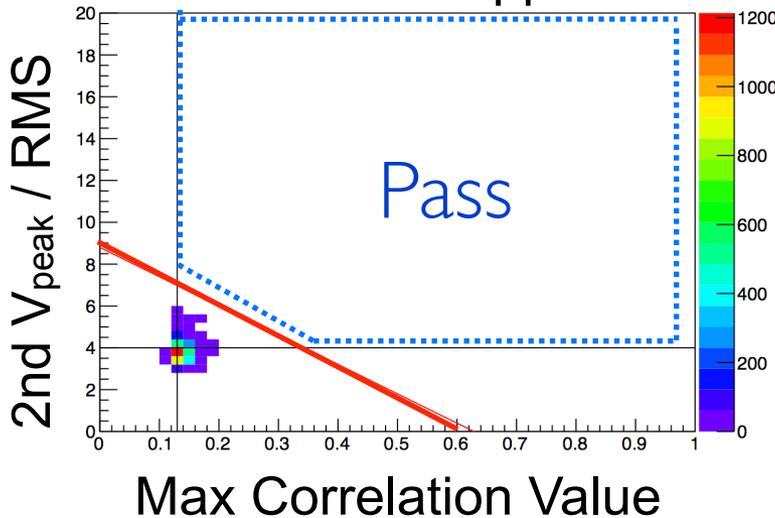
Depending on the polarizations which pass the cut, the event is separated into Vpol and/or Hpol channels

Rejects ~95% of noise-dominated events after initial quality cuts

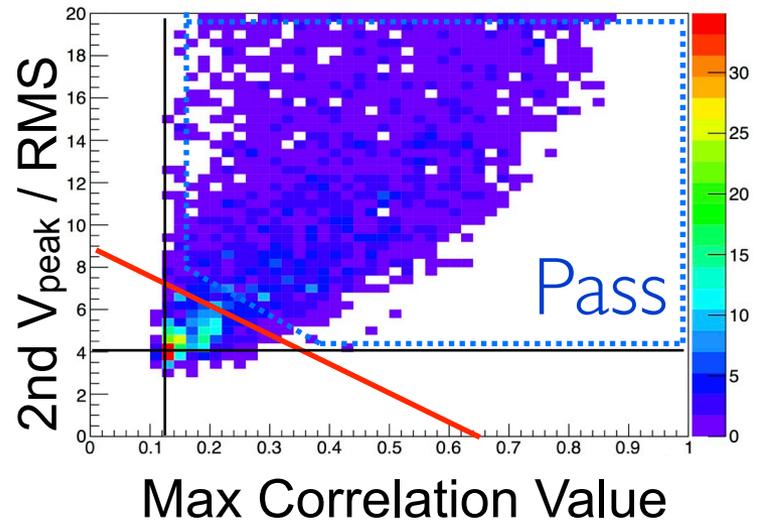


- Expect a correlation between signal strength from waveform and correlation value from reconstruction map for an impulsive event
- After removing known background events with other cuts, use this relation to get background estimation
  - Other cuts made: most reject specific anthropogenic signals

Testbed 10% data set  
With cuts applied



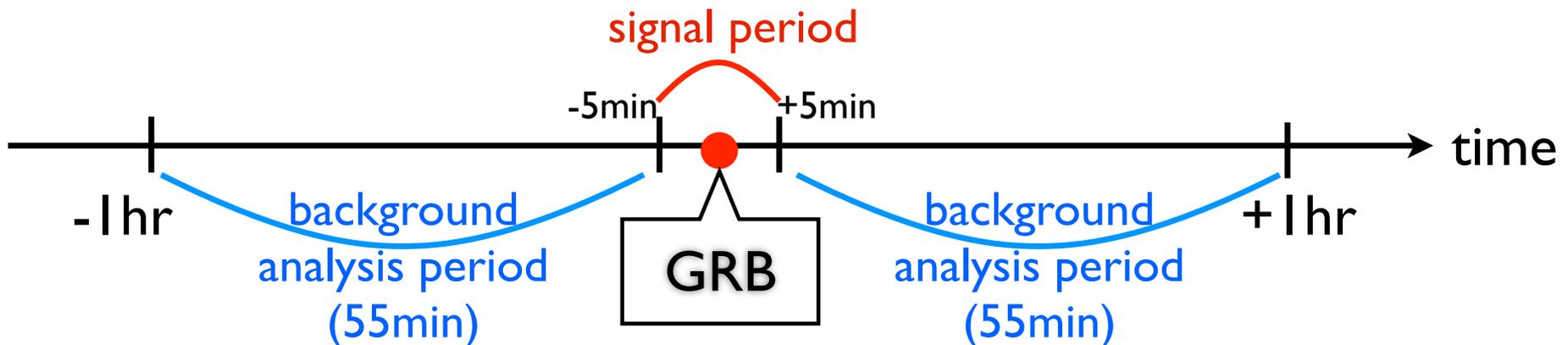
Simulated 10<sup>18</sup> eV  $\nu$  set  
with cuts applied





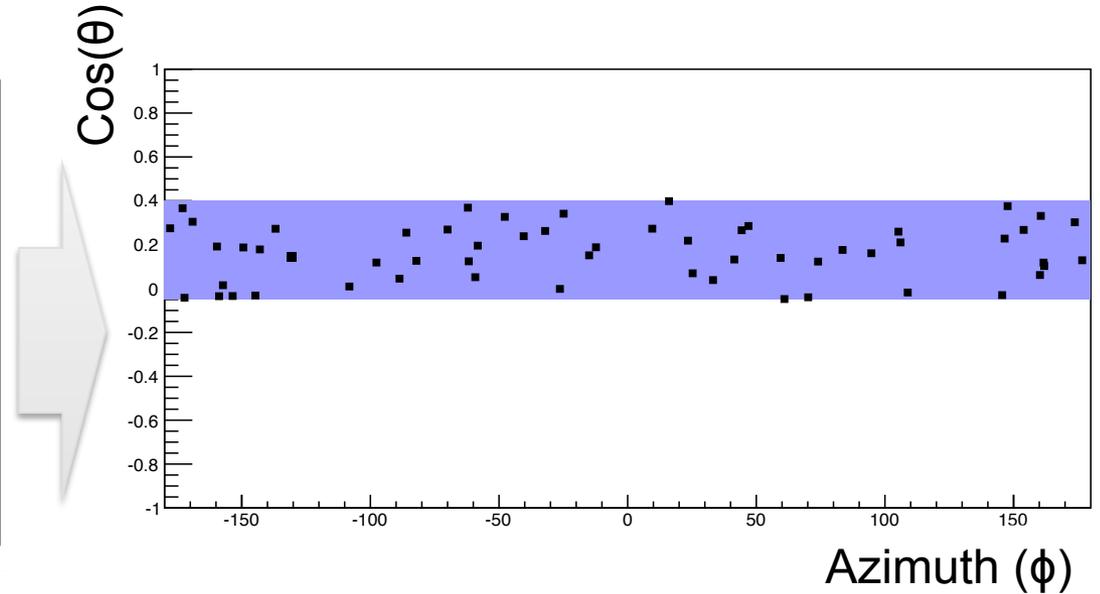
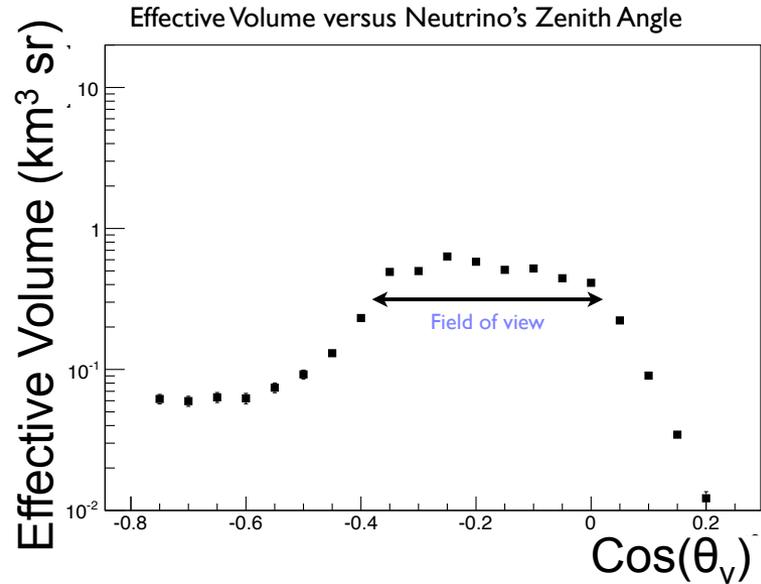
# Testbed GRB analysis

- Adapt the above techniques from the Testbed diffuse neutrino search (arxiv:1404.5285) to search for events coincident with known Gamma Ray Bursts
  - Stricter requirements in time → relaxation of cut values
- 2 unblinding stages
  - Tune cuts on 10% of data in the **background estimation window**
  - 1: Check remaining 90% in **background estimation window**
  - 2: **Signal search** – 100% of data +/- 5 minutes around GRB event
  - Timing technique adapted from ANITA (arxiv: 1102.3206)

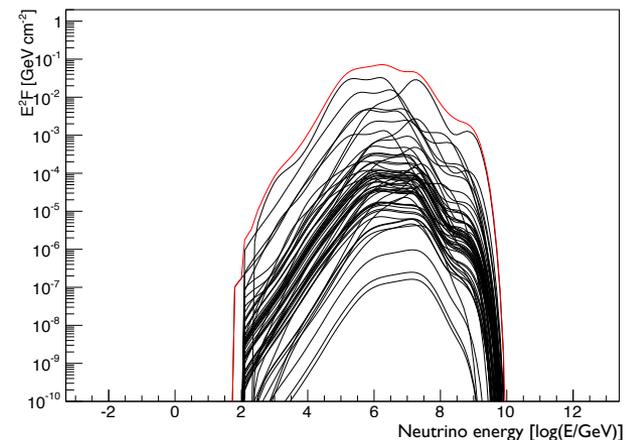




# GRB Selection

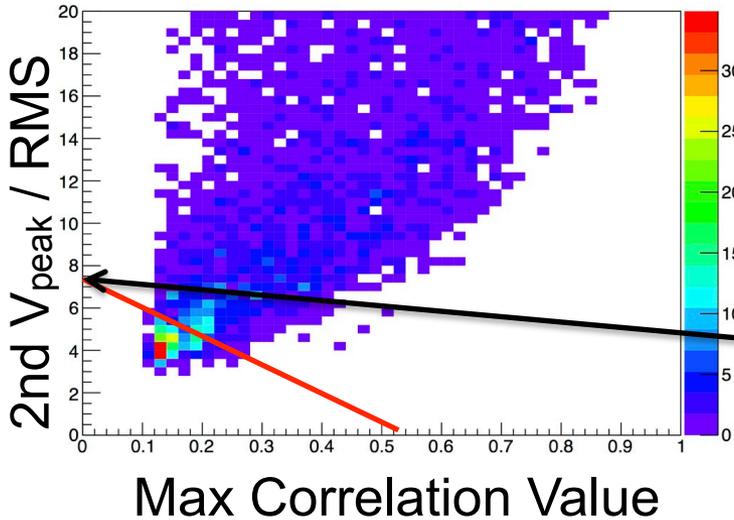


- Selected 57 GRBs based on livetime and geometric acceptance
- Get fluences for each GRB from NeuCosmA simulation and then total
- Tune cuts based on modeled neutrino fluence

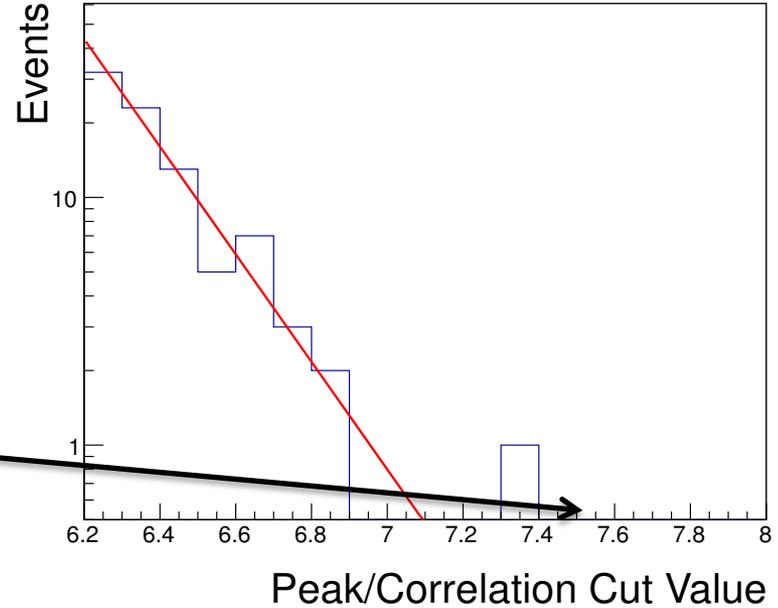




Simulated  $10^{18}$  eV neutrino set  
with cuts applied



Testbed Data



Optimize the cut parameters:

- Fit the background distribution with an exponential
- Integrate extrapolation to get expected background
- $S_{upper}$  is the 90% confidence limit on the signal for an expected background
- $N_{passed,sim}$  is the weighted number of passed simulated neutrinos from an expected flux
- Maximize R to optimize for best limit

$$R = \frac{N_{passed,sim}}{S_{upper}}$$



- All optimized cut parameters relaxed for GRB neutrino search when compared with diffuse neutrino search
- Factor of 2.4 improvement in efficiency against a simulated GRB flux
- Another cut for rejecting CW was removed

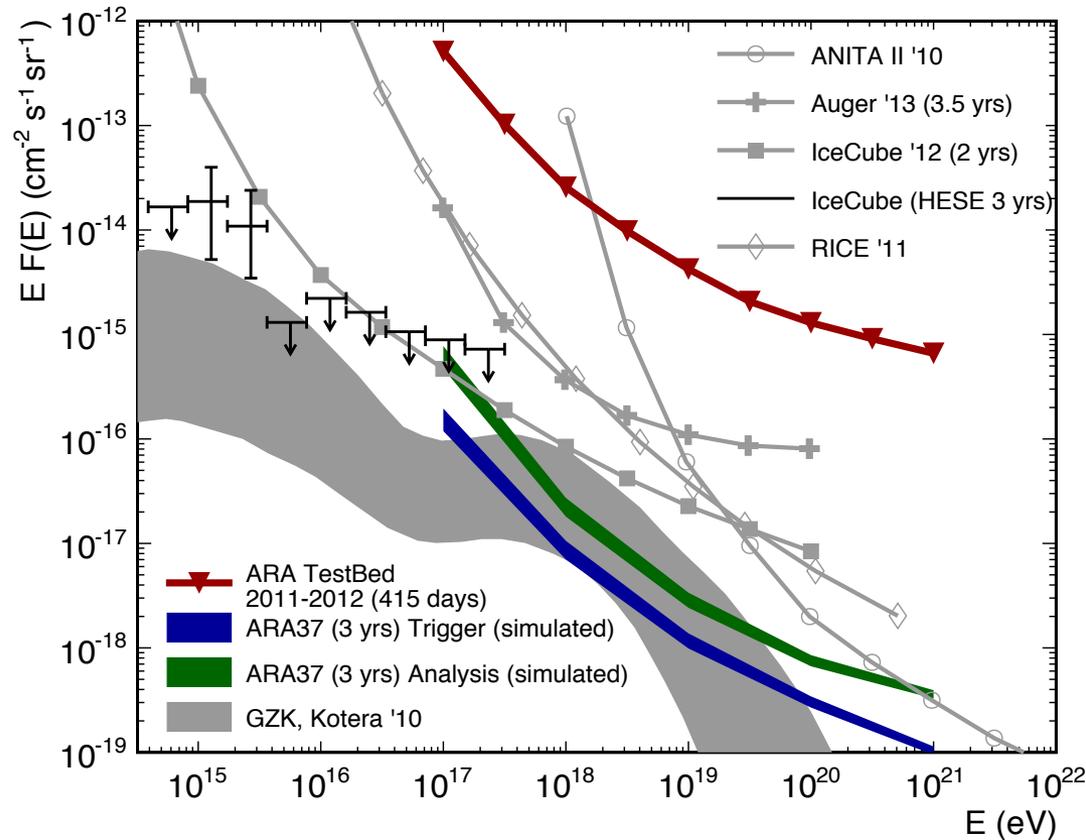
Cut	Reconstruction Quality Cut		Peak/Correlation Cut
	Parameter	$A_{\text{peak}}$	$A_{\text{peak}}/A_{\text{total}}$
Diffuse Neutrino Search	50 deg <sup>2</sup>	1.5	8.8
GRB Neutrino Search	70 deg <sup>2</sup>	16.2	7.5



## First diffuse limits from ARA Testbed found

see [arXiv:1404.5285](https://arxiv.org/abs/1404.5285)  
(Astropart. Phys. 70, 2015, 62–80)

## Projected sensitivity of 37-station array extends to GZK flux models





# Preliminary Results

Stage 1 (90% background period unblinding):

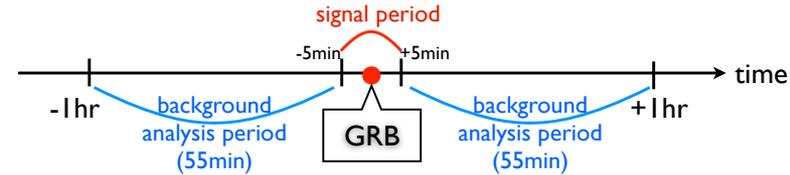
Expected background events: 0.7

2 events survived

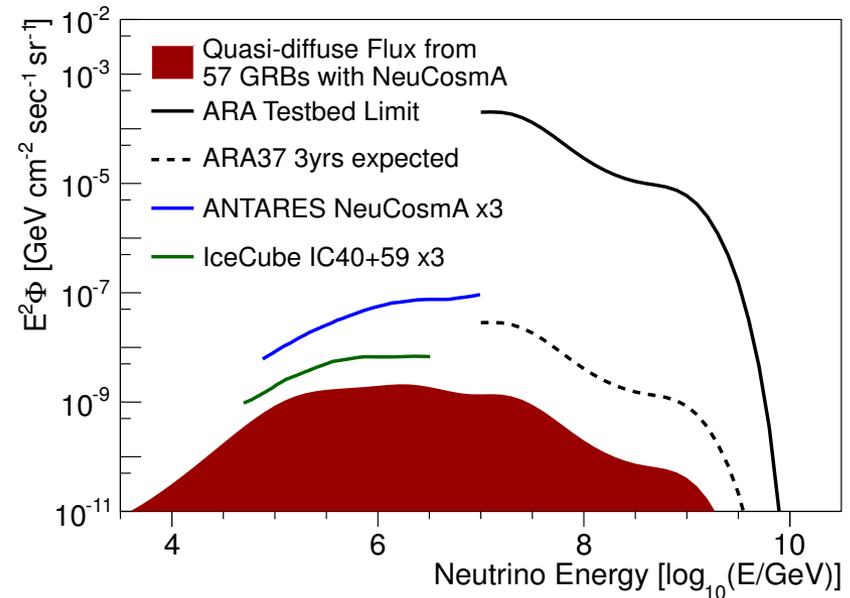
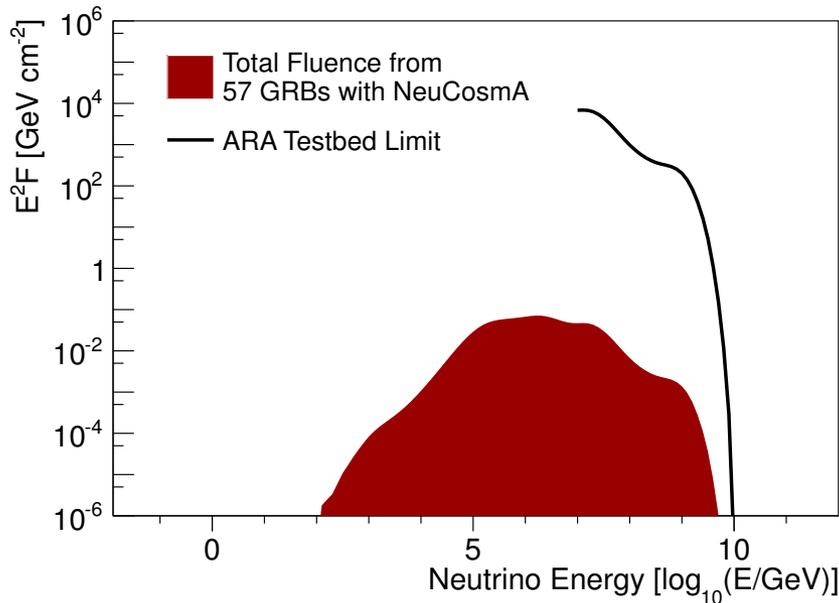
Stage 2 (signal period unblinding):

Expected background: 0.07, Expected neutrinos:  $1.7 \times 10^{-5}$

0 events survived



First quasi-diffuse flux limit above  $10^{16}$  eV (arxiv:1507.00100)





# ANALYSIS: DEEP STATIONS

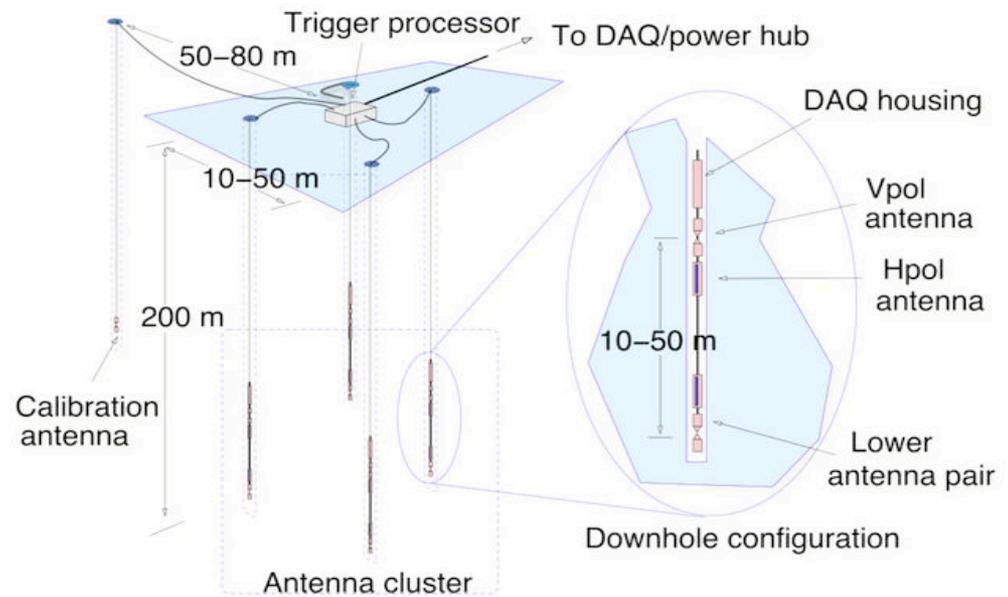


First efforts to examine data from 10 months of data from 2 design stations at 200 m depth

Improvements in

Data quality

- Further from South Pole
- Effective volume  
3X over Testbed Analysis
- Efficiency  
~10% → ~60%





# Noise filtering

5 Hz thermal noise trigger rate

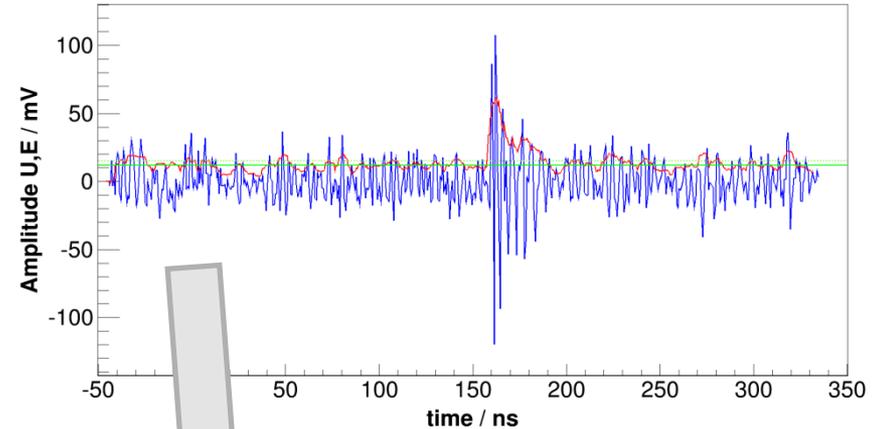
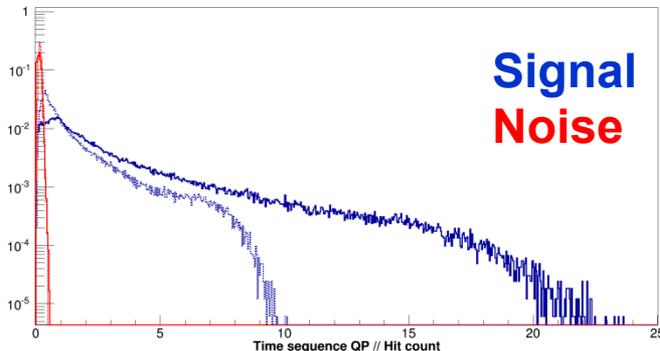
→ Needs to be reduced before applying sophisticated algorithms

### Time sequence algorithm:

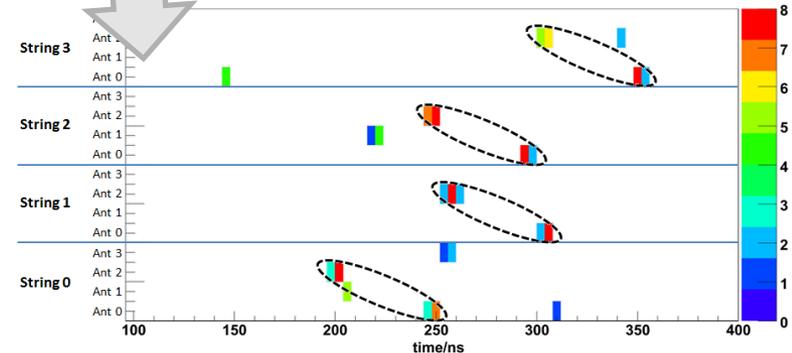
- Boosted hit count
  - Simple algorithm (possible usage as trigger)
1. Generate hit pattern with threshold on energy envelope (red line)
  2. Check hit pattern on conformity with incoming plane wave

→ *quality parameter (similarity to wavefront) x (hit count)*

### Quality Parameter for simulated neutrinos



For 16 antennas per station



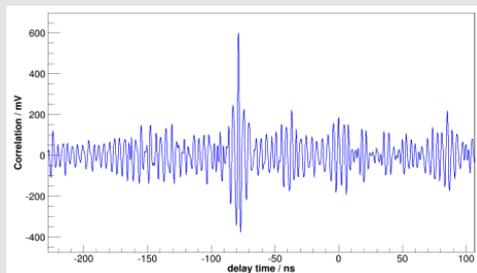
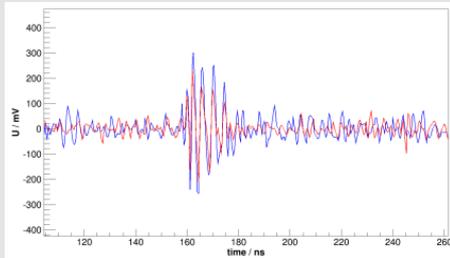


## We need:

- Angular reconstruction of vertices, to distinguish neutrinos from other sources

## The algorithm:

### 1. Determine time differences



### 2. Select good antenna pairs, based on correlation amplitude

### 3. Set up and solve system of **linear** equations

Signal arrival time from positions:

$$c^2(t_v - t_i)^2 = (x_v - x_i)^2 + (y_v - y_i)^2 + (z_v - z_i)^2$$

Use difference between antennas & reorder:

$$\begin{aligned} x_v \cdot 2x_{ij} + y_v \cdot 2y_{ij} + z_v \cdot 2z_{ij} - t_{v,ref} \cdot 2c^2 dt_{ij} \\ = r_i^2 - r_j^2 - c^2(dt_{i,ref}^2 - dt_{j,ref}^2). \end{aligned}$$

This can be represented by:

$$\mathbf{A}\vec{v} = \vec{b},$$

Solve with matrix inversion tools

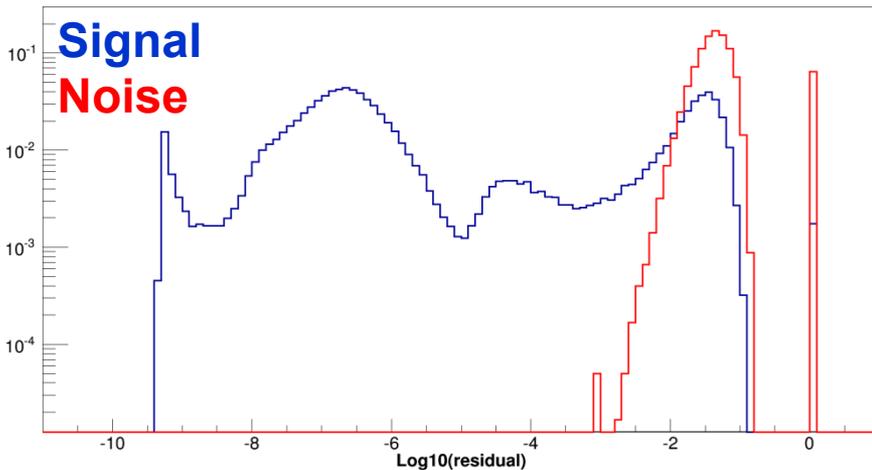


Main quality criterion is residual:

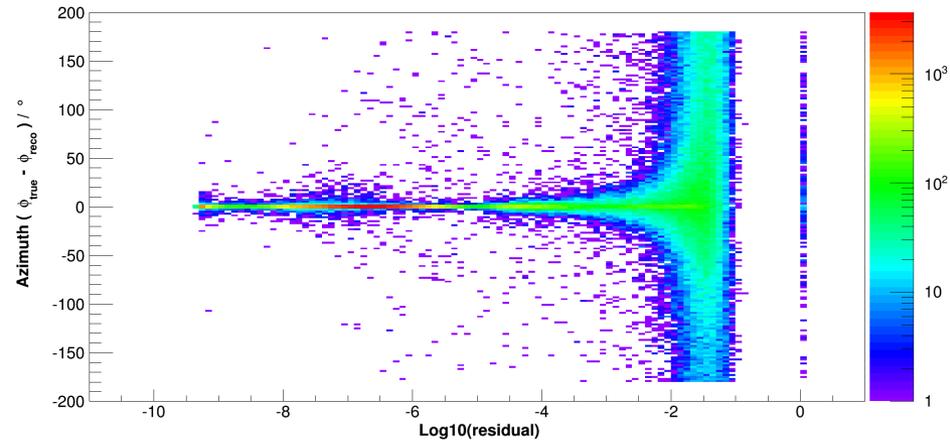
$$res = \left| \frac{\vec{b}}{|\vec{b}|} - \frac{\mathbf{A} \cdot \vec{v}}{|\mathbf{A} \cdot \vec{v}|} \right|^2 \cdot \frac{1}{N_{chp}}$$

Require a minimum correlation value to be included as a pair

### Residual for signal and noise



### Reconstruction error vs residual:

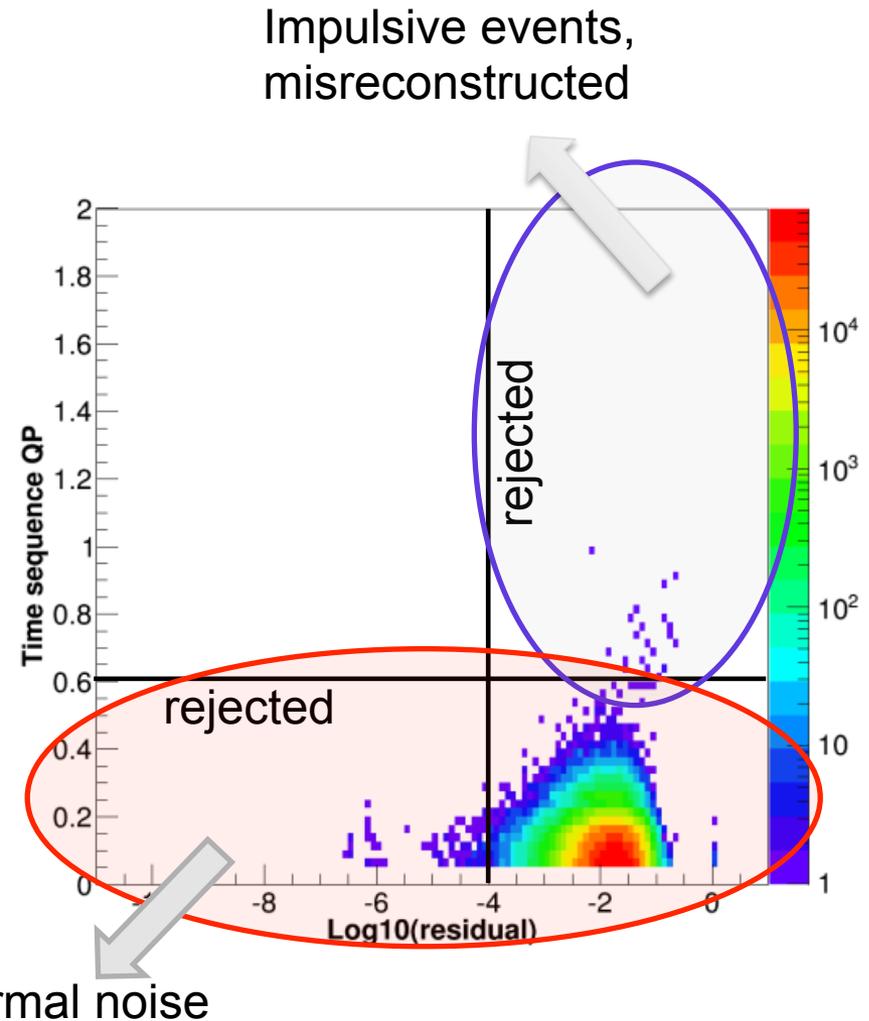


Other quality criteria are applied to further clean out bad reconstructions



## Strategy:

- Use 10% burn sample
- Estimate appropriate angular cuts
  - Calibration pulsars, surface
- Look only at events outside the angular cut region
  - Leftover events are not correlated to known signals, need to be rejected by other cuts: QP, residual
- **Final cuts at QP=0.6, Log10(residual)=-4**
- Estimated background:
  - **0.009 +/- 0.010 ARA02**
  - **0.011 +/- 0.015 ARA03**





# Results – 2 Stations

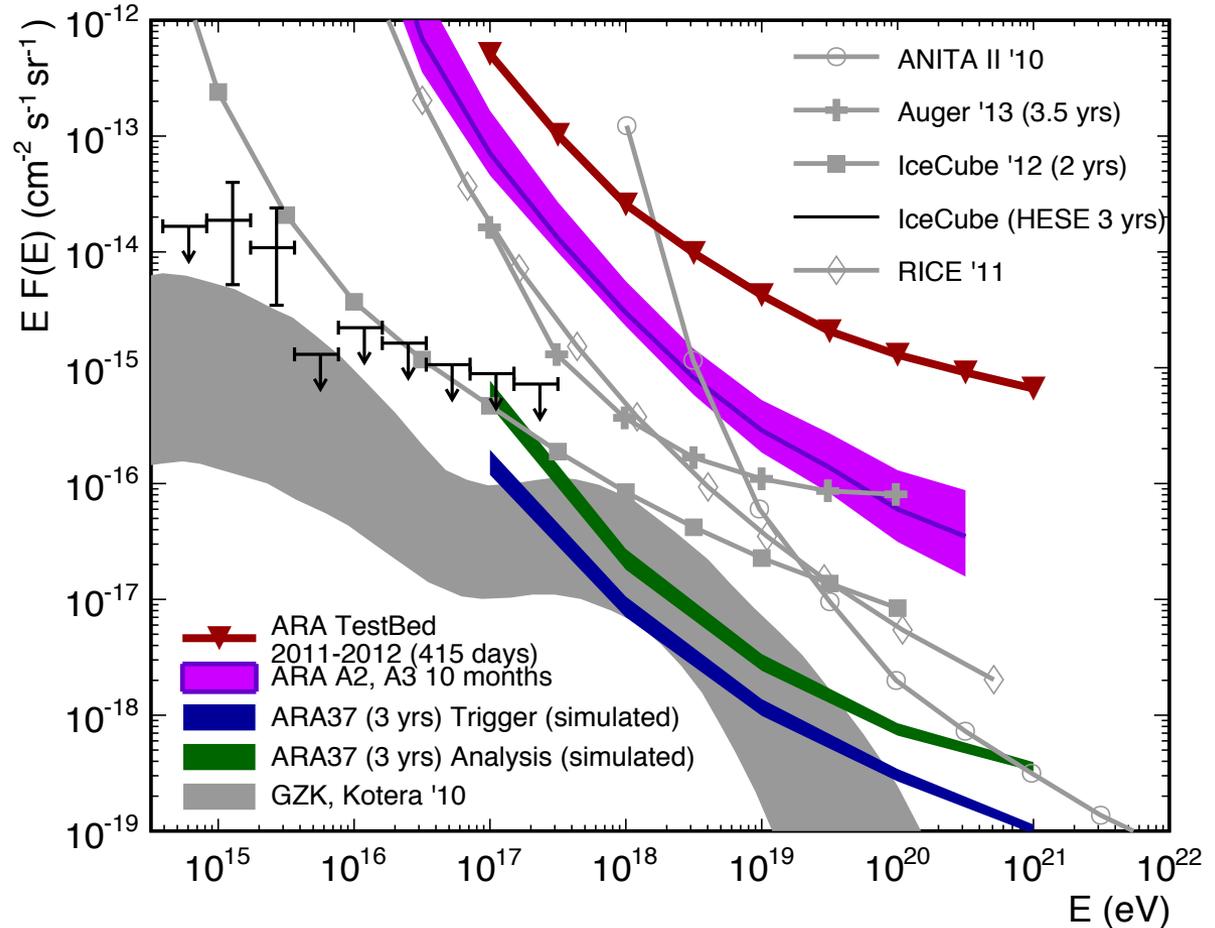
Expected events =  
0.103 (Ahlers  
2010)

No candidates  
found

Limit with  
systematics shown  
in violet band

Considerable  
improvement

analysis efficiency  
effective volume





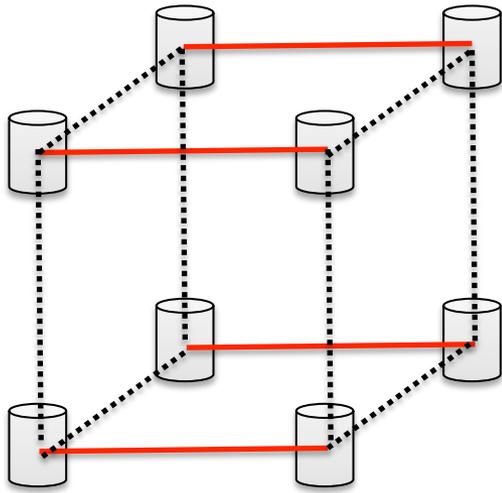
# FURTHER ANALYSIS WORK



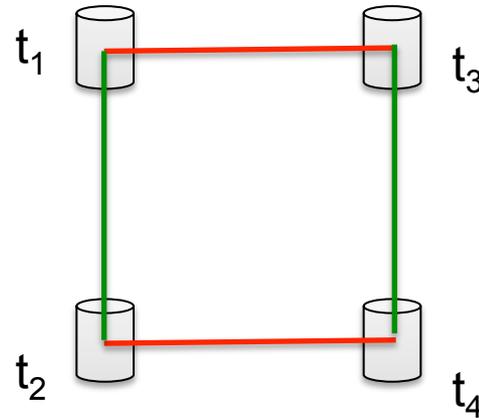
- 100's of millions of events – too many to efficiently use complex reconstruction methods
  - Need  $< 0.1\%$  thermal acceptance to be efficient
- Can we create an adaptable, efficient filter-level algorithm
- Goals:
  - Computationally simple
  - Easily differentiates between signal and noise
  - Decrease volume of data to then use more computationally intensive techniques (ray-tracing, etc)
  - Single understandable output
  - Easily optimizable
- Ultimate goal is a deep station analysis of current data
  - Perhaps use algorithm as a trigger or filter to the North?



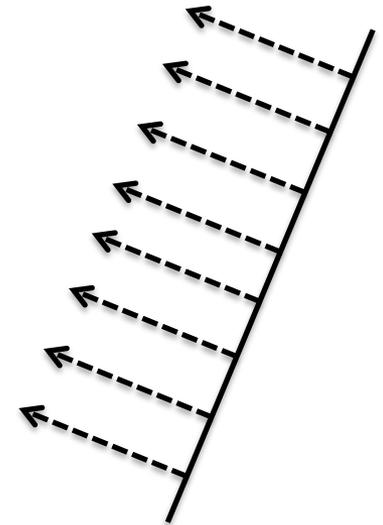
# Planar Signal Wavefront



— = similar pairs



— = A-type pairs  
 — = B-type pairs



$$\Delta t_{A,i} = t_3 - t_1$$

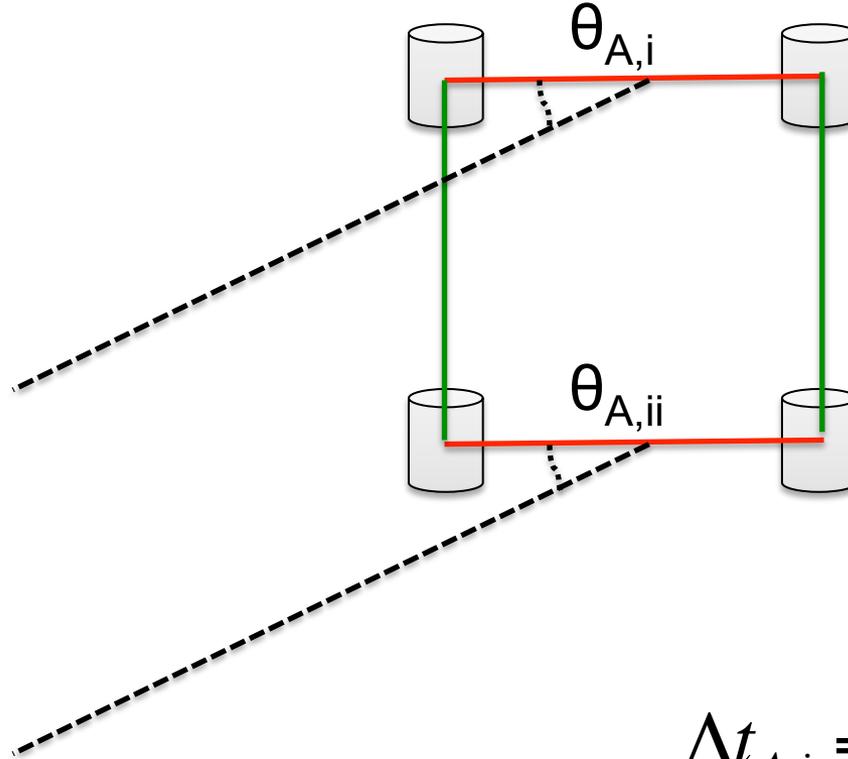
$$\Delta t_{A,ii} = t_4 - t_2$$

$$\Delta t_{A,i} \approx \Delta t_{A,ii}$$

- Divide array into faces
- Difficult to directly compare timing from different sets of pair-types – what to do?



# Angle of Incidence



- Use the angle from the baseline
- Comparable between different pair types

$$\theta_{A,i} \approx \theta_{A,ii} \quad \cos(\theta_{A,i}) \approx \cos(\theta_{A,ii})$$

$$\Delta t_{A,i} = \frac{n}{c} \cos(\theta_{A,i}) \Delta d_{A,i}$$

$$\cos(\theta_{A,i}) = \frac{c \Delta t_{A,i}}{n \Delta d_{A,i}}$$



- Similar time differences  $\rightarrow$  small variation
  - Find the “RMS” around their average

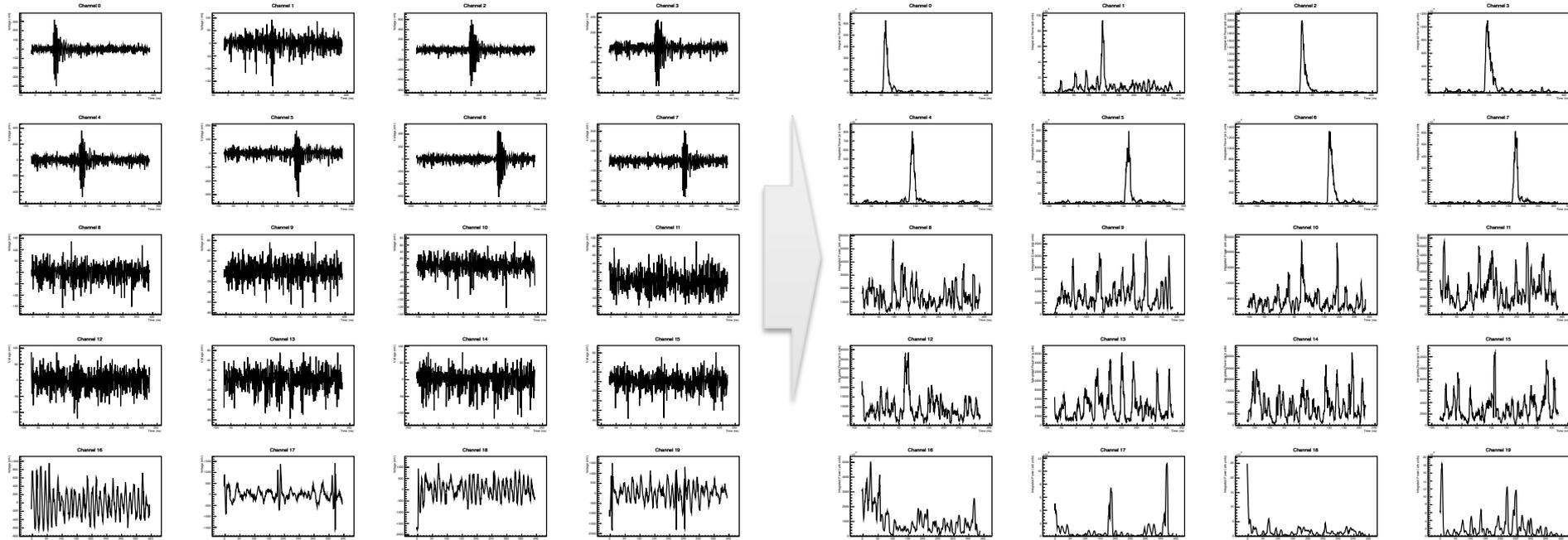
$$\overline{\cos(\theta_A)} = \frac{\cos(\theta_{A,i}) + \cos(\theta_{A,ii})}{2}$$

$$\text{RMS}(\cos(\theta_A)) = \sqrt{\frac{(\cos(\theta_{A,i}) - \overline{\cos(\theta_A)})^2 + (\cos(\theta_{A,ii}) - \overline{\cos(\theta_A)})^2}{2}}$$

- $\text{RMS}(\cos(\theta)) < 0.1$  if the arrival directions agree
- Also corrects for differences in baseline lengths



## Calibration pulser event



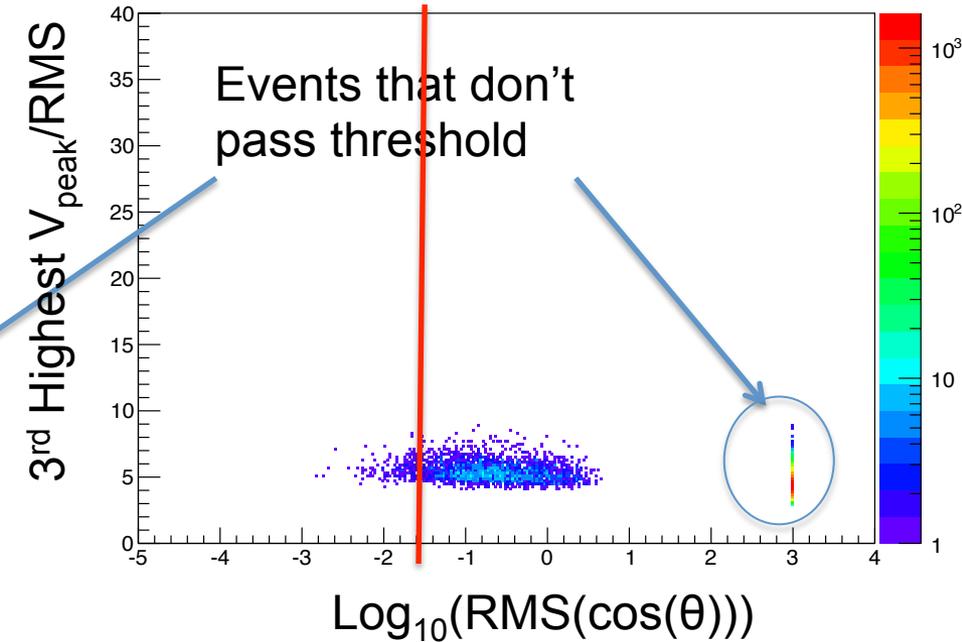
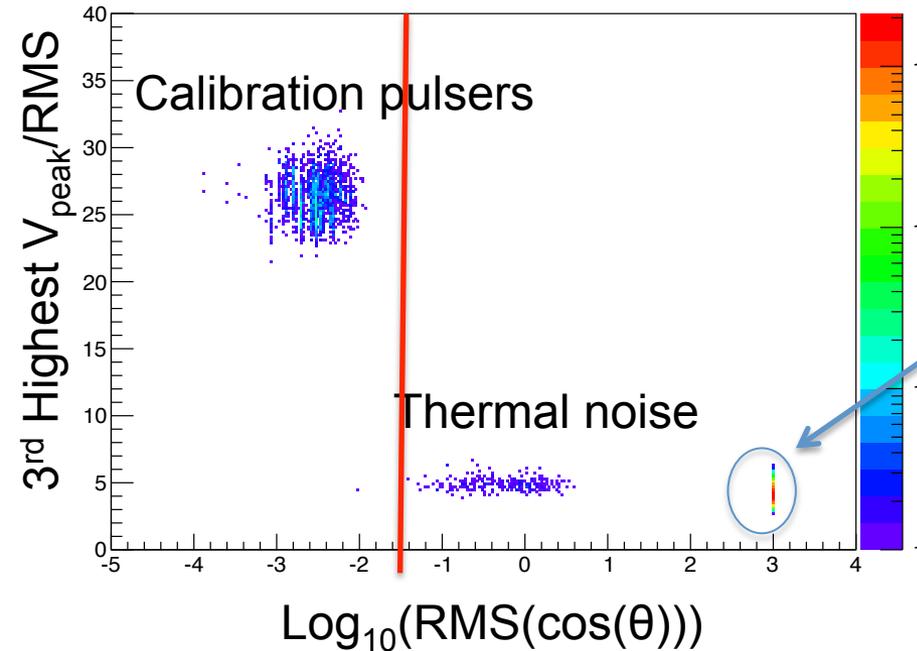
- To decrease noise fluctuations, scan an integrated power window of 5 ns
- Find the two highest peaks, use these as “hit times” for that channel
- Apply a threshold:
 
$$\frac{\text{RMS}(5 \text{ ns around the peak})}{\text{RMS}(\text{waveform})} > \text{Threshold}$$
- Find the face with the timing that agrees best with incoming signal (lowest face RMS)



Station A2, Run 1798  
Threshold = 2.5

Vpol

Hpol

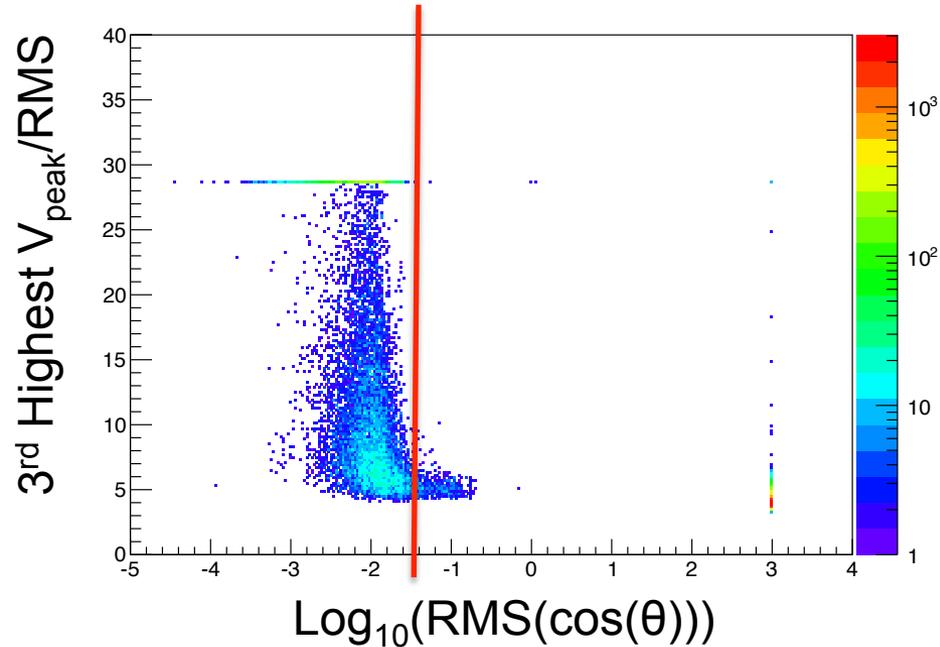
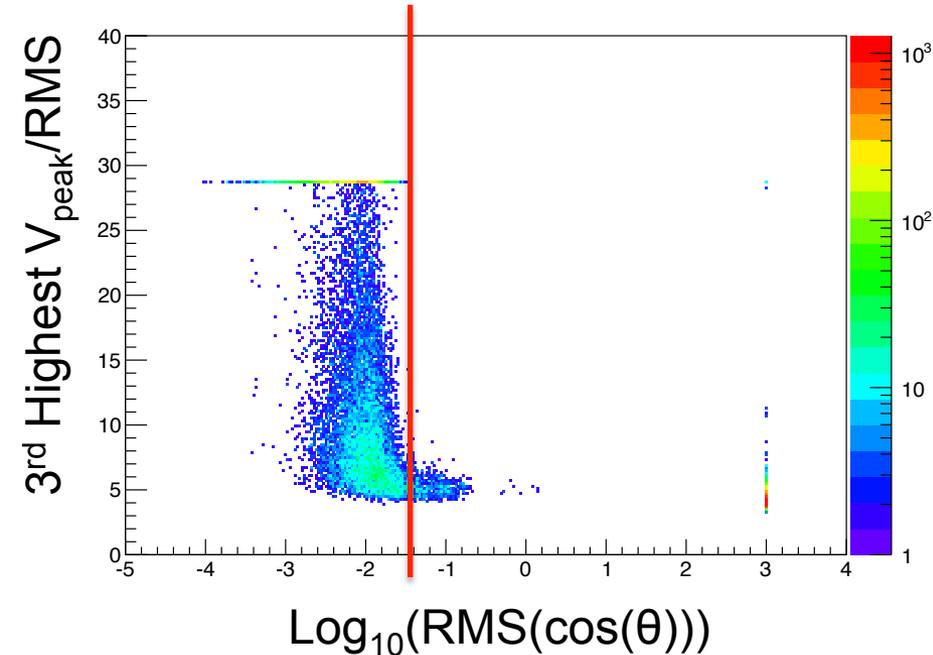


- More event pass threshold in Hpol antennas
  - use separate thresholds for Vpol and Hpol

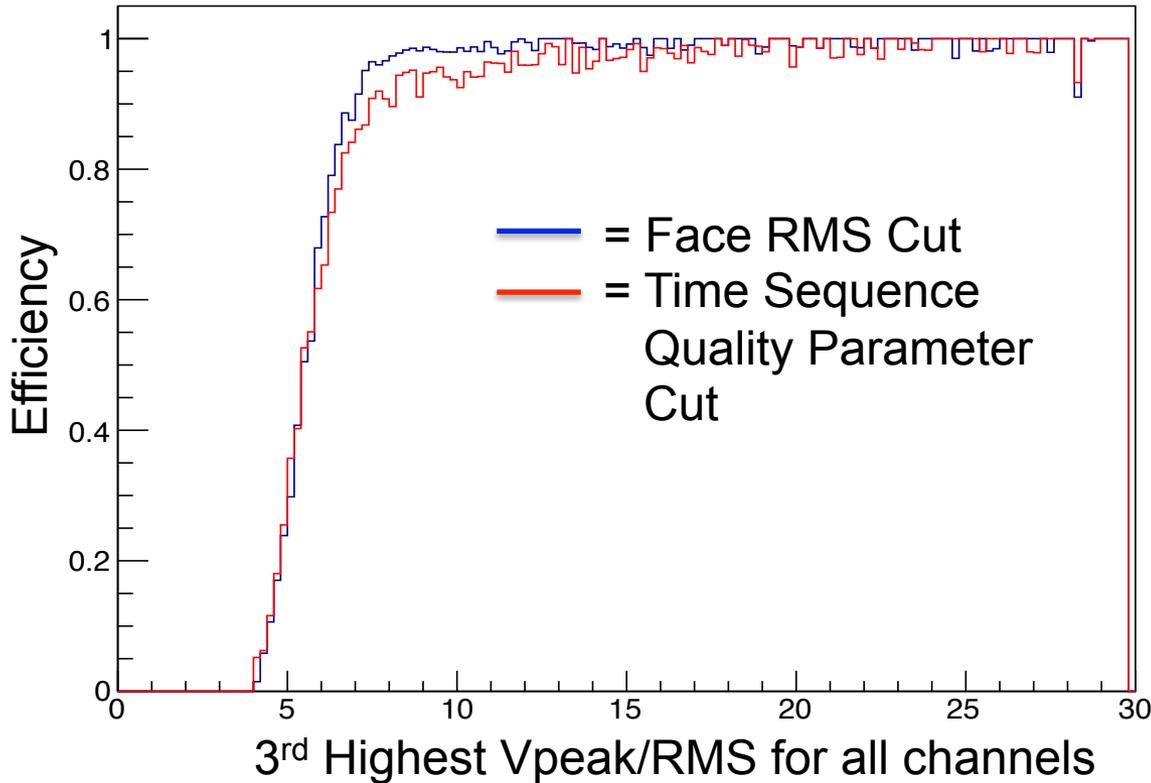


Vpol

Hpol



- Simulated  $10^{19}$  eV neutrino events generated with AraSim simulation package
- Good separation at high signal strength
- Reasonable separation at lower signal strength
- Noise starts to dominate over low SNR signals – difficult to reconstruct anyway



Vpol thresh = 2.5  
Hpol thresh = 2.9  
 $\text{Log}_{10}(\text{RMS}(\cos(\theta))) < -1.5$

Time Sequence Quality  
Parameter > 0.6  
(value used in 2013  
A2/3 analysis,  
described by Kael in  
earlier talk)

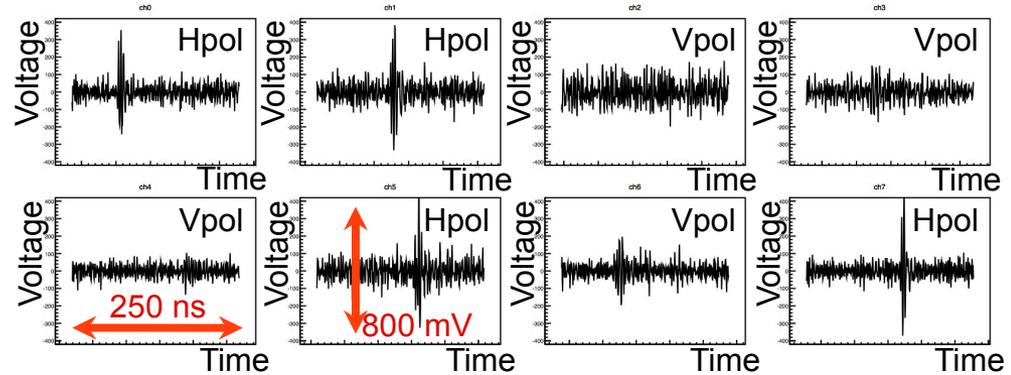
- Data RF events - Face RMS efficiency = 0.08 %, TSQP = 0.08 %
- Simulation - Face RMS efficiency = 83.1%, TSQP efficiency = 81.6%
- Currently filter algorithms comparable
- Face RMS not optimized, may improve even more



# Feature Types

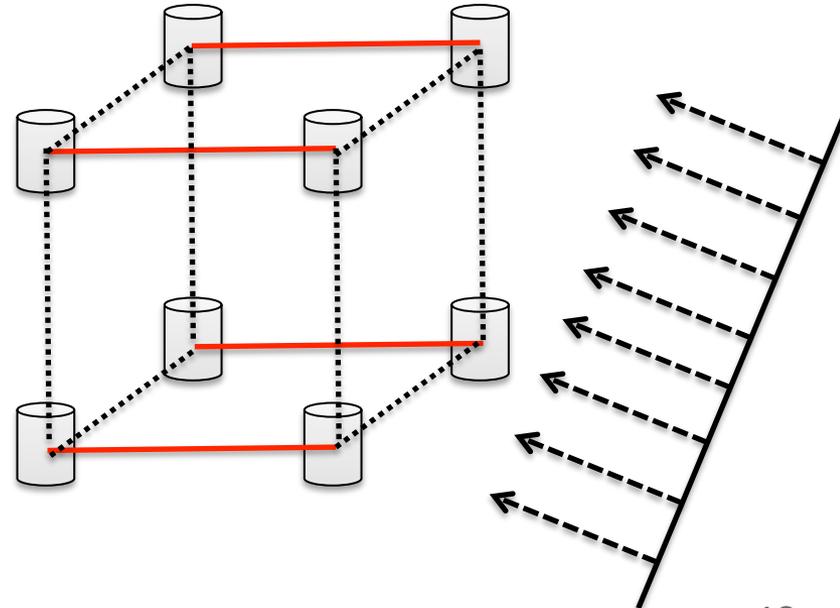
- Single channel - waveform

- Signal strength
- Signal shape
- Spectrum



- Between channels

- Relative arrival times
- Spectral similarities
- Correlation map





- ARA is a ultrahigh energy neutrino detector being built at the South Pole
- **Prototype Testbed station**
- Asymmetrical layout
- Completed diffuse neutrino search
  - Optimized cuts
  - Projected limit for ARA37
- Completed GRB neutrino search - quasi-diffuse flux limit above  $10^{16}$  eV
  - Limiting background search window → cut relaxation
- **Deep Stations**
- Analysis of 10 months of data complete – diffuse neutrino flux limit
- Further analysis work ongoing
- Assess potential for future work using machine learning



# Questions?

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